

MONTHLY STATUS REPORT FOR MONETARY PENALTY – JULY 2025

Details of Penalty Levied on DPs:

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
10705	KISAN RATILAL CHOKSEY SHARES & SECURITIES PVT LTD-BARDOLI	500	PAN Modification
10900	MOTILAL OSWAL FINANCIAL SERVICES LIMITED	500	PAN Modification
10907	MOTILAL OSWAL FINANCIAL SERVICES LIMITED - NASIK	500	PAN Modification
10919	MOTILAL OSWAL FINANCIAL SERVICES LIMITED-KOLHAPUR	200	Delay in scanning and uploading the DIS images
11300	PRABHUDAS LILLADHER PVT LTD	500	PAN Modification
11800	WALLFORT FINANCIAL SERVICES LIMITED	500	PAN Modification
12107	SURESH RATHI SECURITIES PVT LIMITED - KOTA	400	Delay in scanning and uploading the DIS images
13500	CIL SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
13800	BANK OF MAHARASHTRA	300	Delay in scanning and uploading the DIS images
13800	BANK OF MAHARASHTRA	2,000	Inspection Observation
14100	ALANKIT ASSIGNMENTS LIMITED	2,000	Delay in submitting Concurrent Audit
14200	JANATA SAHAKARI BANK LIMITED, PUNE	800	Delay in scanning and uploading the DIS images
14200	JANATA SAHAKARI BANK LIMITED, PUNE	2,000	Delay in submitting Internal Audit Report Compliance
15200	ACML CAPITAL MARKETS LIMITED	1,200	Delay in scanning and uploading the DIS images
17000	INDIRA SECURITIES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
18900	B R JALAN SECURITIES PRIVATE LIMITED	500	PAN Modification
19101	SMC GLOBAL SECURITIES LIMITED - NEW DELHI	200	Delay in scanning and uploading the DIS images
19103	SMC GLOBAL SECURITIES LIMITED - KOLKATA	500	Delay in Surveillance Alerts Response
20000	JOINDRE CAPITAL SERVICES LIMITED	200	Delay in scanning and uploading the DIS images

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20800	BOI SHAREHOLDING LIMITED	200	Delay in scanning and uploading the DIS images
20800	BOI SHAREHOLDING LIMITED	1,000	PAN Modification
22500	RASHI EQUISEARCH PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
23000	EMKAY GLOBAL FINANCIAL SERVICES LIMITED	500	PAN Modification
24200	TRUSTLINE SECURITIES LIMITED	1,500	Delay in Surveillance Alerts Response
24201	TRUSTLINE SECURITIES LIMITED-CHANDIGARH	500	PAN Modification
24400	MONEYCARE SECURITIES AND FINANCIAL SERVICES LIMITED	600	Delay in scanning and uploading the DIS images
24500	R B K SHARE BROKING LIMITED	200	Delay in scanning and uploading the DIS images
24700	MANGAL KESHAV FINANCIAL SERVICES LLP	500	PAN Modification
25000	M PRASAD & CO. LIMITED	200	Delay in scanning and uploading the DIS images
25000	M PRASAD & CO. LIMITED	1,500	Delay in Surveillance Alerts Response
25500	WELLWORTH SHARES AND STOCK BROKING LIMITED	1,500	Delay in scanning and uploading the DIS images
25900	UNION BANK OF INDIA	1,500	Delay in Surveillance Alerts Response
25900	UNION BANK OF INDIA	1,000	PAN Modification
27000	SMC GLOBAL SECURITIES LIMITED - MUMBAI MAIN	500	PAN Modification
28600	IDBI BANK LIMITED	600	Delay in scanning and uploading the DIS images
28900	SUSHIL FINANCIAL SERVICES PRIVATE LIMITED	500	PAN Modification
29400	SAURASHTRA CAPITAL BROKING PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
29900	INDIABULLS SECURITIES LIMITED	1,000	IG penalty
31500	WAY2WEALTH BROKERS PRIVATE LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
31900	INDBANK MERCHANT BANKING SERVICES LTD	200	Delay in scanning and uploading the DIS images
32300	NUVAMA WEALTH AND INVESTMENT LIMITED	5,000	Delay in Surveillance Alerts Response

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
32400	FINANCE MONITOR (INDIA) PRIVATE LIMITED	3,000	Delay in Surveillance Alerts Response
34000	ARJ SECURITIES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
34600	SYSTEMATIX SHARES AND STOCKS (INDIA) LIMITED	500	PAN Modification
35800	THE AKOLA URBAN COOPERATIVE BANK LIMITED, AKOLA	2,500	Delay in submitting Cyber Incident Report
35800	THE AKOLA URBAN COOPERATIVE BANK LIMITED, AKOLA	4,000	Inspection Observation
36000	SHAREKHAN LIMITED	1,500	Delay in Surveillance Alerts Response
36900	BALAJI EQUITIES LIMITED	2,500	Delay in submitting Cyber Incident Report
40400	ANUSH SHARES & SECURITIES PRIVATE LIMITED	5,000	Delay in submitting Cyber Incident Report
41400	RELIANCE SECURITIES LIMITED	500	PAN Modification
41500	JAINAM BROKING LIMITED	5,000	Delay in submitting Inspection compliance
42300	RR EQUITY BROKERS PRIVATE LIMITED	3,600	Delay in scanning and uploading the DIS images
43000	ARIHANT CAPITAL MARKETS LIMITED	200	Delay in scanning and uploading the DIS images
43000	ARIHANT CAPITAL MARKETS LIMITED	500	PAN Modification
43300	EXCLUSIVE SECURITIES LIMITED	5,000	Delay in submitting Inspection compliance
43800	RAIMA EQUITIES PRIVATE LIMITED	800	Delay in scanning and uploading the DIS images
45500	DEALMONEY COMMODITIES PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
46200	SHREE NAMAN SECURITIES AND FINANCE PRIVATE LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
46200	SHREE NAMAN SECURITIES AND FINANCE PRIVATE LIMITED	2,500	Inspection Observation
47600	SHAREWEALTH SECURITIES LIMITED	4,500	PAN Modification
48600	AFN LANGRANA SHARE AND STOCK BROKERS PRIVATE LIMITED	25,000	Delay in submitting Inspection compliance
48600	AFN LANGRANA SHARE AND STOCK BROKERS PRIVATE LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
50100	NEW INDIA CO-OPERATIVE BANK LIMITED	3,000	Delay in submitting Concurrent Audit

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50100	NEW INDIA CO-OPERATIVE BANK LIMITED	3,000	Delay in submitting Internal Audit Report
50600	SYNDICATE BANK	2,500	Delay in submitting Cyber Incident Report
51000	MITHUN SECURITIES PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
51300	PUNJAB NATIONAL BANK	300	Delay in scanning and uploading the DIS images
51500	RIKHAV SECURITIES LIMITED	2,500	Inspection Observation
56300	FARSIGHT SECURITIES LIMITED	1,000	Inspection Observation
56600	CAREGROWTH BROKING PRIVATE LIMITED	1,500	Delay in Surveillance Alerts Response
58200	SHRI PARASRAM HOLDINGS PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
58200	SHRI PARASRAM HOLDINGS PRIVATE LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
59100	LAKSHMISHREE INVESTMENT AND SECURITIES LIMITED	500	Delay in Surveillance Alerts Response
59100	LAKSHMISHREE INVESTMENT AND SECURITIES LIMITED	1,000	PAN Modification
59300	FORT SHARE BROKING PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
60700	AGROY FINANCE AND INVESTMENT LIMITED	200	Delay in scanning and uploading the DIS images
63500	BUGLEROCK CAPITAL PRIVATE LIMITED	2,000	Delay in Surveillance Alerts Response
64400	ALLWIN SECURITIES LIMITED	600	Delay in scanning and uploading the DIS images
64900	ALB STOCK BROKING PRIVATE LIMITED	1,500	Inspection Observation
65200	COMPOSITE INVESTMENTS PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
66900	CHOICE EQUITY BROKING PRIVATE LIMITED	500	Delay in Surveillance Alerts Response
66900	CHOICE EQUITY BROKING PRIVATE LIMITED	500	PAN Modification
67800	JRK STOCK BROKING PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
68100	FRR SHARES AND SECURITIES LIMITED	1,000	PAN Modification

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68500	NINE STAR BROKING PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
68500	NINE STAR BROKING PRIVATE LIMITED	500	PAN Modification
69000	MOTISONS SHARES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
69400	BHAIJEE PORTFOLIO PRIVATE LIMITED	900	Delay in scanning and uploading the DIS images
70100	HARDIK FINTRADE PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
70200	TRADEBULLS SECURITIES PRIVATE LIMITED	500	PAN Modification
70400	MULTIGAIN SECURITIES SERVICES PRIVATE LIMITED	1,500	Delay in scanning and uploading the DIS images
71300	A C AGARWAL SHARE BROKERS PRIVATE LIMITED	500	PAN Modification
71600	PRITHVI FINMART PRIVATE LIMITED	2,500	Delay in submitting Cyber Incident Report
72000	SHREE BAHUBALI STOCK BROKING LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
74200	VISHWAS FINCAP SERVICES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
74600	MUTHOOTTU MINI FINANCIERS LIMITED	5,000	Delay in submitting Cyber Incident Report
75800	ACUMEN CAPITAL MARKET (INDIA) LIMITED	2,500	Delay in submitting Cyber Incident Report
75800	ACUMEN CAPITAL MARKET (INDIA) LIMITED	3,000	Delay in Surveillance Alerts Response
75900	ACHINTYA SECURITIES PRIVATE LIMITED	1,500	Delay in Surveillance Alerts Response
76400	WEALTH DISCOVERY SECURITIES PRIVATE LIMITED	1,500	Delay in scanning and uploading the DIS images
77100	RAJENDRA SHARE BROKING PRIVATE LIMITED	2,500	Delay in submitting Cyber Incident Report
78000	VRAMATH FINANCIAL SERVICES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
79700	F R RATNAGAR AND COMPANY PRIVATE LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
79800	ADWEALTH STOCK BROKING PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images

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80600	SHRI VENKATESH STOCK BROKER SERVICES INDIA PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
81800	UPSTOX SECURITIES PRIVATE LIMITED	2,000	Delay in submitting Concurrent Audit
82500	5PAISA CAPITAL LIMITED	500	Delay in Surveillance Alerts Response
83000	JBS SECURITIES PRIVATE LIMITED	1,200	Delay in scanning and uploading the DIS images
83800	PENTAGON STOCK BROKERS PVT LTD	2,500	Delay in submitting Cyber Incident Report
84300	FINVASIA SECURITIES PRIVATE LIMITED	500	Delay in Surveillance Alerts Response
85200	SILVER STREAM EQUITIES PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
85200	SILVER STREAM EQUITIES PRIVATE LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
86700	HDFC SECURITIES LIMITED	400	Delay in scanning and uploading the DIS images
87500	KEDIA CAPITAL SERVICES PRIVATE LIMITED	900	Delay in scanning and uploading the DIS images
88100	BgSE FINANCIALS LIMITED	600	Delay in scanning and uploading the DIS images
88400	RELITRADE STOCK BROKING PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
88500	THINCREDBLU SECURITIES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
88800	PAYTM MONEY LIMITED	5,000	IG penalty
89200	SHREE BALAJI MULTICOMMODITIES PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
90100	SPARK PWM PRIVATE LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
90400	PRL STOCK & SHARE BROKERS PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
91000	INCRED CAPITAL WEALTH PORTFOLIO MANAGERS PRIVATE LIMITED	1,400	Delay in scanning and uploading the DIS images
92900	MIRAE ASSET CAPITAL MARKETS (INDIA) PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
94100	GROWTH SECURITIES PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
94700	DEFINEDGE SECURITIES BROKING PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
96100	BONDBAZAAR SECURITIES PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
98100	SB PATIL SECURITIES PRIVATE LIMITED	15,000	Delay in submitting Inspection compliance
99000	MALIRAM MAKHARIA FINSTOCK PRIVATE LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
99800	POCKETFUL FINTECH CAPITAL PRIVATE LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
100600	DIAMOND HANDS LLP	300	Delay in scanning and uploading the DIS images

Details of Penalty Levied on RTAs/ Issuers directly connected to Depository:

RTAs/ Issuers	RTAs/ Issuers Name	Imp Monetary	Audit Type/ Reasons
169	S.K. INFOSOLUTIONS PRIVATE LIMITED (ERSTWHILE S K COMPUTERS)	2,000	Delay in submitting Internal Audit Report Compliance
216	JAGATJIT INDUSTRIES LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
241	NAGARJUNA FERTILIZERS AND CHEMICALS LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
244	MCS SHARE TRANSFER AGENT LIMITED - MUMBAI	2,500	Inspection Observation
296	SANWARIA CONSUMER LIMITED	16,000	RTA Internal Audit Report Non-Submission of Report and RTA Internal Audit Report Compliance not submitted
384	INDO MONEY SECURITIES PRIVATE LIMITED	8,000	RTA Internal Audit Report Non-Submission of Report and RTA Internal Audit Report Compliance not submitted